

General Assembly

Amendment

February Session, 2004

LCO No. 4437

SB0060504437SD0

Offered by:

SEN. SULLIVAN, 5th Dist.

SEN. LOONEY, 11th Dist.

SEN. WILLIAMS, 29th Dist.

SEN. PETERS, 20th Dist.

To: Senate Bill No. **605**

File No. 596

Cal. No. 419

"AN ACT CONCERNING PERFORMANCE-BASED BUDGETING AND LEGISLATIVE OVERSIGHT OF FEDERAL FUNDS."

- In line 2, after "Management" insert ", in consultation with the
- 2 Legislative Program Review and Investigations Committee,"
- 3 In line 4, strike "one" and insert "five" in lieu thereof
- 4 In line 17, after "to" insert "the Legislative Program and
- 5 Investigations Committee,"
- 6 In line 18, strike "committees" and insert in lieu thereof "committee"
- 7 In line 20, strike "and government administration and elections,"
- 8 In line 24, strike "committees" and insert in lieu thereof "committee"
- 9 In line 25, after "and" insert "the budgets of state agencies"

- 10 In line 26, strike "government administration and elections,"
- 11 After the last section, add the following and renumber sections and
- 12 internal references accordingly:
- 13 "Sec. 501. Section 4-67w of the general statutes, as amended by
- 14 section 4 of public act 03-19, is repealed and the following is
- substituted in lieu thereof (*Effective July 1, 2004*):
- Not later than July 1, 2004, the State Prevention Council shall submit
- 17 to the Secretary of the Office of Policy and Management and the joint
- 18 standing committee of the General Assembly having cognizance of
- 19 matters relating to appropriations its recommendations concerning the
- 20 potential expansion, including potential use of benchmarks, or
- 21 termination of the State Prevention Council. [pursuant to section 2c-
- 22 12.]
- Sec. 502. Section 17a-2 of the general statutes is repealed and the
- 24 following is substituted in lieu thereof (*Effective July 1, 2004*):
- 25 (a) There shall be a Department of Children and Families which
- 26 shall be a single budgeted agency consisting of the institutions,
- 27 facilities programs now existing within the department, any programs
- 28 and facilities transferred to the department, and such other
- 29 institutions, facilities and programs as may hereafter be established by
- 30 or transferred to the department by the General Assembly.
- 31 (b) Said department shall constitute a successor department to the
- 32 Department of Children and Youth Services, for the purposes of
- 33 sections [2c-2b,] 4-5, as amended, 4-38c, as amended, 4-60i, 4-77a, 4-
- 34 165b, 4a-11b, 4a-12, 4a-16, 5-259, as amended, 7-127c, 8-206d, 10-8a, 10-
- 35 15d, 10-76d, as amended, 10-76h, as amended, 10-76i, as amended, 10-
- 36 76w, 10-76g, as amended, 10-94g, 10-253, as amended, 17-86a, 17-294,
- 37 17-409, 17-437, 17-572, 17-578, 17-579, 17-585, 17a-1 to 17a-89, inclusive,
- 38 17a-90 to 17a-209, inclusive, 17a-218, 17a-277, 17a-450, 17a-458, 17a-463,
- 39 17a-474, 17a-560, 17a-511, 17a-634, 17a-646, 17a-659, 18-69, 18-69a, 18-
- 40 87, as amended, 19a-78, 19a-125, 19a-216, 20-14i, 20-14j, 31-23, 31-306a,

41 38a-514, 45a-591 to 45a-705, inclusive, 45a-706 to 45a-770, inclusive,

- 42 46a-28, 46a-126, 46b-15 to 46b-19, inclusive, as amended, 46b-120 to
- 43 46b-159, inclusive, 54-56d, <u>as amended</u>, 54-142k, 54-199, 54-203 and in
- accordance with the provisions of sections 4-38d and 4-39.
- 45 (c) Whenever the words "Commissioner of Children and Youth
- 46 Services", "Department of Children and Youth Services", or "Council
- on Children and Youth Services" are used in sections [2c-2b,] 4-5, as
- 48 amended, 4-38c, as amended, 4-60i, 4-77a, 4-165b, 4a-11b, 4a-12, 4a-16,
- 49 5-259, as amended, 7-127c, 8-206d, 10-8a, 10-15d, 10-76d, as amended,
- 50 10-76h, as amended, 10-76i, as amended, 10-76w, 10-94g, 10-253, as
- 51 amended, 17-86a, 17-294, 17-409, 17-437, 17-572, 17-578, 17-579, 17-585,
- 52 17a-1 to 17a-89, inclusive, 17a-90 to 17a-209, inclusive, 17a-218, 17a-277,
- 53 17a-450, 17a-458, 17a-463, 17a-474, 17a-511, 17a-634, 17a-646, 17a-659,
- 54 18-69, 18-69a, 18-87, <u>as amended</u>, 19a-78, 19a-125, 19a-216, 20-14i, 20-
- 55 14j, 31-23, 31-306a, 38a-514, 45a-591 to 45a-705, inclusive, 45a-706 to
- 56 45a-770, inclusive, 46a-28, 46a-126, 46b-15 to 46b-19, inclusive, as
- 57 amended, 46b-120 to 46b-159, inclusive, 54-56d, as amended, 54-142k,
- 58 54-199, 54-203, the words "Commissioner of Children and Families",
- 59 "Department of Children and Families", and "Council on Children and
- 60 Families" shall be substituted respectively in lieu thereof.
- Sec. 503. Section 17a-247a of the general statutes is repealed and the
- 62 following is substituted in lieu thereof (*Effective July 1, 2004*):
- As used in sections 17a-247b to 17a-247e, inclusive: [, and
- 64 subdivision (31) of subsection (a) of section 2c-2b:]
- (1) "Abuse" means the wilful infliction by an employee of physical
- 66 pain or injury or the wilful deprivation of services necessary to the
- 67 physical and mental health and safety of a department client.
- 68 (2) "Authorized agency" means any agency authorized in
- 69 accordance with the general statutes to conduct abuse and neglect
- 70 investigations and responsible for issuing or carrying out protective
- 71 services for persons with mental retardation.

72 (3) "Commissioner" means the Commissioner of Mental Retardation.

- 73 (4) "Department" means the Department of Mental Retardation.
- 74 (5) "Department client" means a person who is eligible for, and 75 receives services or funding from, the department.
- 76 (6) "Employee" means any individual employed (A) by the 77 department, or (B) by an agency, organization or individual that is 78 licensed or funded by the department.
- 79 (7) "Employer" means (A) the department, or (B) an agency, 80 organization or individual that is licensed or funded by the 81 department.
- 82 (8) "Neglect" means the failure by an employee, through action or 83 inaction, to provide a department client with the services necessary to 84 maintain such client's physical and mental health and safety.
- 85 (9) "Protective services" has the same meaning as provided in section 46a-11a.
- 87 (10) "Registry" means a centralized data base containing information 88 regarding substantiated abuse or neglect.
- (11) "Substantiated abuse or neglect" means a determination by an authorized agency, following an investigation conducted or monitored by such agency, that (A) abuse or neglect of a department client has occurred, or (B) there has been a criminal conviction of a felony or misdemeanor involving abuse or neglect.
- Sec. 504. Subsection (a) of section 17a-450a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July* 1, 2004):
- (a) The Department of Mental Health and Addiction Services shall
 constitute a successor department to the Department of Mental Health.
 Whenever the words "Commissioner of Mental Health" are used or

referred to in the following general statutes, the words "Commissioner

- of Mental Health and Addiction Services" shall be substituted in lieu
- thereof and whenever the words "Department of Mental Health" are
- 103 used or referred to in the following general statutes, the words
- 104 "Department of Mental Health and Addiction Services" shall be
- substituted in lieu thereof: [2c-2b,] 4-5, as amended, 4-38c, as amended,
- 106 4-60i, 4-77a, 4a-12, 4a-16, 5-142, as amended, 8-206d, 10-19, 10-71, as
- 107 amended, 10-76d, as amended, 17a-14, 17a-26, 17a-31, 17a-33, 17a-218,
- 108 17a-246, 17a-450, 17a-451, 17a-452, 17a-453, 17a-454, 17a-455, 17a-456,
- 109 17a-457, 17a-458, 17a-459, 17a-460, 17a-463, 17a-464, 17a-465, 17a-466,
- 110 17a-467, 17a-468, 17a-470, 17a-471, 17a-472, 17a-473, 17a-474, 17a-476,
- as amended, 17a-478, 17a-479, 17a-480, as amended, 17a-481, 17a-482,
- 112 17a-483, 17a-484, 17a-498, 17a-499, 17a-502, 17a-506, 17a-510, 17a-511,
- 113 17a-512, 17a-513, 17a-519, 17a-528, 17a-560, 17a-561, 17a-562, 17a-565,
- 114 17a-576, 17a-581, 17a-582, 17a-675, 17b-28, 17b-222, 17b-223, 17b-225,
- 115 17b-359, 17b-420, 17b-694, 19a-82, 19a-495, 19a-498, 19a-507a, 19a-507c,
- 116 19a-576, 19a-583, 20-14i, 20-14j, 21a-240, as amended, 21a-301, 27-122a,
- 117 31-222, 38a-514, 46a-28, 51-510, 52-146h and 54-56d, as amended.
- Sec. 505. Subsection (b) of section 17a-456 of the general statutes is
- 119 repealed and the following is substituted in lieu thereof (Effective July
- 120 1, 2004):
- 121 (b) Whenever the term "Board of Mental Health" is used or referred
- to in the following sections of the general statutes, the term "Board of
- 123 Mental Health and Addiction Services" shall be substituted in lieu
- 124 thereof: [2c-2b,] 17a-457, 17a-460, 17a-467, 17a-473, 17a-564.
- Sec. 506. Section 19a-13 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective July 1, 2004*):
- As used in [subsection (a) of section 2c-2b,] this chapter and
- 128 chapters 368v, 369 to 375, inclusive, 378 to 381, inclusive, 383 to 388,
- inclusive, 398 and 399 unless the context otherwise requires:
- 130 (1) "Certificate" includes the whole or part of any Department of
- 131 Public Health permit which the department is authorized by the

general statutes to issue and which further: (A) Authorizes practice of the profession by certified persons but does not prohibit the practice of the profession by others, not certified; (B) prohibits a person from falsely representing that [he] such person is certified to practice the profession unless the person holds a certificate issued by the department; (C) requires as a condition to certification that a person submit specified credentials to the department which attest to qualifications to practice the profession;

- (2) "Emerging occupation or profession" means a group of health care providers whose actual or proposed duties, responsibilities and services include functions which are not presently regulated or licensed or which are presently performed within the scope of practice of an existing licensed or otherwise regulated health occupation or profession;
- (3) "License" includes the whole or part of any Department of Public Health permit, approval or similar form of permission required by the general statutes and which further requires: (A) Practice of the profession by licensed persons only; (B) that a person demonstrate competence to practice through an examination or other means and meet certain minimum standards; (C) enforcement of standards by the department or regulatory board or commission;
- (4) "Public member" means an elector of the state who has no substantial financial interest in, is not employed in or by, and is not professionally affiliated with, any industry, profession, occupation, trade or institution regulated or licensed by the board or commission to which [he] such person is appointed a member, and who has had no professional affiliation with any such industry, profession, occupation, trade or institution for three years preceding [his] such person's appointment to the board or commission;
- (5) "Registration" means the required entry upon a list maintained by the Department of Public Health of the name of a practitioner or the address of a place where a practice or profession subject to the

provisions of [subsection (a) of section 2c-2b,] this chapter and chapters

- 165 368v, 369 to 375, inclusive, 378 to 381, inclusive, 383 to 388, inclusive,
- 166 398 and 399 may be engaged in;
- 167 (6) "Complaint" means a formal statement of charges issued by the
- 168 Department of Public Health.
- Sec. 507. Subsection (a) of section 19a-14 of the general statutes is
- 170 repealed and the following is substituted in lieu thereof (Effective July
- 171 1, 2004):
- 172 (a) The Department of Public Health shall have the following
- powers and duties with regard to the boards and commissions listed in
- subsection (b) which are within the Department of Public Health. The
- 175 department shall:
- 176 (1) Control the allocation, disbursement and budgeting of funds
- appropriated to the department for the operation of the boards and
- 178 commissions;
- 179 (2) Employ and assign such personnel as the commissioner deems
- 180 necessary for the performance of the functions of the boards and
- 181 commissions;
- 182 (3) Perform all management functions including purchasing,
- 183 bookkeeping, accounting, payroll, secretarial, clerical and routine
- 184 housekeeping functions;
- 185 (4) Adopt, with the advice and assistance of the appropriate board
- or commission, and in accordance with chapter 54, any regulations
- which are consistent with protecting the public health and safety and
- which are necessary to implement the purposes of [subsection (a) of
- section 2c-2b,] this chapter, and chapters 368v, 369 to 375, inclusive,
- 190 378 to 381, inclusive, 383 to 388, inclusive, 398 and 399;
- 191 (5) Develop and perform all administrative functions necessary to
- 192 process applications for licenses and certificates;

(6) Determine the eligibility of all applicants for permits, licensure, certification or registration, based upon compliance with the general statutes and administrative regulations. The department may deny the eligibility of an applicant for a permit or for licensure by examination, endorsement, reciprocity or for reinstatement of a license voided pursuant to subsection (f) of section 19a-88, as amended, or may issue a license pursuant to a consent order containing conditions that must be met by the applicant if the department determines that the applicant:

- (A) Has failed to comply with the general statutes and administrative regulations governing [his] such applicant's profession;
- (B) Has been found guilty or convicted as a result of an act which constitutes a felony under (i) the laws of this state, (ii) federal law or (iii) the laws of another jurisdiction and which, if committed within this state, would have constituted a felony under the laws of this state;
- (C) Is subject to a pending disciplinary action or unresolved complaint before the duly authorized professional disciplinary agency of any state, the District of Columbia, a United States possession or territory, or a foreign jurisdiction;
- (D) Has been subject to disciplinary action similar to an action specified in subsection (a) of section 19a-17 by a duly authorized professional disciplinary agency of any state, the District of Columbia, a United States possession or territory, or a foreign jurisdiction;
- (E) Has committed an act which, if the applicant were licensed, would not conform to the accepted standards of practice of the profession, including but not limited to, incompetence, negligence, fraud or deceit; illegal conduct; procuring or attempting to procure a license, certificate or registration by fraud or deceit; or engaging in, aiding or abetting unlicensed practice of a regulated profession, provided the commissioner, or [his] the commissioner's designee, gives notice and holds a hearing, in accordance with the provisions of chapter 54, prior to denying an application for a permit or a license

- 225 based on this subparagraph; or
- (F) Has a condition which would interfere with the practice of [his]
- 227 <u>such applicant's</u> profession, including, but not limited to, physical
- 228 illness or loss of skill or deterioration due to the aging process,
- 229 emotional disorder or mental illness, abuse or excessive use of drugs or
- 230 alcohol, provided the commissioner, or [his] the commissioner's
- 231 designee, gives notice and holds a hearing in accordance with the
- 232 provisions of chapter 54, prior to denying an application for a permit
- 233 or a license based on this subparagraph;
- 234 (7) Administer licensing examinations under the supervision of the
- 235 appropriate board or commission;
- 236 (8) Develop and perform all administrative functions necessary to
- process complaints against persons licensed by the department;
- 238 (9) Consent to the approval or disapproval by the appropriate
- 239 boards or commissions of schools at which educational requirements
- 240 shall be met;
- 241 (10) Conduct any necessary review, inspection or investigation
- 242 regarding qualifications of applicants for licenses or certificates,
- 243 possible violations of statutes or regulations, and disciplinary matters.
- 244 In connection with any investigation, the Commissioner of Public
- Health or said commissioner's authorized agent may administer oaths,
- 246 issue subpoenas, compel testimony and order the production of books,
- records and documents. If any person refuses to appear, to testify or to
- 248 produce any book, record or document when so ordered, a judge of
- the Superior Court may make such order as may be appropriate to aid
- 250 in the enforcement of this section;
- 251 (11) Conduct any necessary investigation and follow-up in
- 252 connection with complaints regarding persons subject to regulation or
- 253 licensing by the department;
- 254 (12) Perform any other function necessary to the effective operation

of a board or commission and not specifically vested by statute in the board or commission;

- 257 (13) Contract with a third party, if the commissioner deems 258 necessary, to administer licensing examinations and perform all 259 attendant administrative functions in connection with such 260 examination.
- Sec. 508. (*Effective July 1, 2004*) Section 2c-1 of the general statutes, section 2c-2b of the general statutes, as amended, sections 2c-3 to 2c-11, inclusive, of the general statutes and section 2c-12 of the general statutes, as amended, are repealed."